

Year 2020-21

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Presidential Performance Review Committee
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Wednesday, May 19, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Year 2020-21

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

at the Office of the Board of Regents, 600 McNamara Alumni

Center

Regents present: Kendall Powell, presiding; Annie Mayeron; and Steven Svigm.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 1:30 p.m.

Monday May 24, 2021 at 1:30 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 3:35 p

Year 2020-21

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Presidential Performance Review Committee
FY 2021**

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Litigation Review Committee
June 10, 2021**

A meeting of the Litigation Review Committee of the Board of Regents was held on Thursday, June 10, 2021 at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center.

Regents present: David McMillan, presiding; James Farnsworth, Douglas Huebsch, Ruth Johnson, and Janie Mayeron.

Staff present: General Counsel Douglas Peterson; and Executive Director Brian Steeves.

Others present: Michael Albert, John Casserly, Sarah Dirksen, Myron Frans, Edward Gates, Rebecca Gerber, Rich Giunta, Charles Gross, William Haldeman, Rick Huebsch, Karen Ohlfest, Timothy Pramas, Brian Slovut, and Sean Somermeyer.

The docket materials for this meeting are [available here](#).

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE LITIGATION REVIEW COMMITTEE**

The meeting convened in public session at 8:00 a.m. A motion was made and seconded that the following resolution be adopted:

WHEREAS, based on advice of the General Counsel, the Board of Regents Litigation Review Committee has balanced the purposes served by the Open Meeting Law and by the attorney-client privilege, and determined that there is a need for absolute confidentiality to discuss litigation strategy in particular matters involving the University of Minnesota.

NOW, THEREFORE, BE IT RESOLVED, that in accordance with Minn. Stat. § 13D.01, Subd. 3 and 13D.05 Subd. 3(b), a non-public meeting of Litigation Review Committee be held on Thursday, June 10, 2021 at 8:00 a.m. in the Hail! Minnesota Conference Room, 600 McNamara Alumni Center, for the purpose of discussing attorney-client privileged matters including the following:

- I. *Regents of the University of Minnesota v. Gilead Sciences, Inc.*
- II. *Becker, et al. v. Regents of the University of Minnesota and UMP*
- III. *Does 1-2, et al. v. Regents of the University of Minnesota*
- IV. *Michael Sacks, et al. v. University of Minnesota, et al.*

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Audit & Compliance Committee
June 10, 2021**

A meeting of the Audit & Compliance Committee of the Board of Regents was held on Thursday, June 10, 2021 at 8:00 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Darrin Rosha, presiding; Mary Davenport, Mike Kenyanya, Ken Powell, Steve Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Executive Vice President and Provost Rachel Croson; Vice President Michael Berthelsen; Executive Director Brian Steeves; Chief Auditor Gail Klatt; and Associate Vice President Michael Volna.

Student Representatives present: Abdulla Ali and Rielle Perttu Swanson.

The docket materials for this meeting are available [here](#).

INTERNAL AUDIT UPDATE

Regent Rosha invited Chief Auditor Klatt to present the Internal Audit update, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

INTERNAL AUDIT PLAN

Regent Rosha invited Chief Auditor Klatt to present the fiscal year 2022 Internal Audit Plan, as detailed in the docket.

The docket materials for this item begin on page 33. The closed captioned video of this item is [available here](#).

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The meeting adjourned at 8:58 a.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

A meeting of the
10, 2021 at 9:1

Regents present:
Kenyanya, Jani
Verhalen.

Staff present: P
Presidents Mat

BOARD OF REGENTS POLICY: *STUDENT EDUCATION RECORDS*

Regent Davenport invited Executive Vice President and Provost Croson and Stacey Tidball, Interim Associate Vice Provost of Academic Support to present for action proposed amendments to Board of Regents Policy: *Student Education Records*, as detailed in the docket.

The docket materials for this item begin on page 3. The closed captioned video of this item is [available here](#).

A motion was made and seconded, and the committee voted unanimously to recommend adoption of the proposed amendments to Board of Regents Policy: *Student Education Records*. as detailed in the docket.

The docket materials for this item are [available here](#)

SYSTEM UNDERGRADUATE ENROLLMENT UPDATE

Regent Davenport invited Robert McMaster, Vice Provost and Dean of Undergraduate Education, Twin Cities Campus; and Jeffrey Ratliff-Crain, Vice Chancellor for Academic Affairs and Innovation, Rochester Campus to discuss system undergraduate enrollment, as detailed in the docket.

The docket materials for this item begin on page 40. The closed captioned video of this item is [available here](#).

CONSENT REPORT

Executive Vice President and Provost Croson presented the Consent Report, as detailed in the docket.

The docket materials for this item begin on page 83. The closed captioned video of this item is [available here](#).

Request for Approval of New Academic Programs

- School of Public Health (Twin Cities campus)—Create an M.P.H. degree in Public Health Data Science
- School of Public Health (Twin Cities campus)—Create a graduate minor in Climate Change and Health
- College of Education and Human Development (Twin Cities campus)—Create a postbaccalaureate certificate in Learning Sciences
- College of Continuing and Professional Studies (Twin Cities campus)—create an undergraduate certificate in Long Term Care Management

Request for Approval of Changed Academic Programs

- Medical School (Twin Cities campus)—Change name of the Plastic Surgery Residency to the Plastic and Reconstructive Surgery Residency
- College of Liberal Arts (Twin Cities campus)—Change the name of the Ph.D., M.A., and graduate minor in Asian Literatures, Culture, and Media to Asian and Middle Eastern Cultures and Media.
- College of Liberal Arts (Twin Cities campus)—Change the name of the M.A. in Asian Studies to Asian and Middle Eastern Studies.
- College of Liberal Arts (Twin Cities campus)—Create a Master's-level subplan option with SHS as a requirement for Indian and Indigenous Studies doctoral minor.

Request for Approval of Faculty Appointments with Tenure

- Kristen Mark, professor with tenure, Department of Family Medicine and Community Health, Medical School
- Melissa Green, associate professor with tenure, Department of Aerospace Engineering and Mechanics, College of Science and Engineering

A motion was made and seconded, and the committee voted unanimously to approve the Consent Report.

INFORMATION ITEMS

Executive Vice President and Provost Croson referred the committee to the information items in the docket.

The docket materials for this item begin on page 89. The closed captioned video of this item is [available here](#).

The meeting adjourned at 11:17 a.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Presidential Performance Review Committee
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Thursday, June 10, 2021 at 11:30 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

; Deputy Director Sarah Dirksen

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

meeting convened in public session at 11:33 a.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Thursday, June 10, 2021 at 11:30 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to gather input, discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.

The committee voted unanimously to adopt the resolution and the public portion of the meeting adjourned. The committee discussed feedback on the president's performance.

The meeting adjourned at 1:00 p.m.

BRIAN R. STEEVES
Executive Director and
Corporate Secretary



A motion was made and seconded, and the committee voted unanimously to recommend approval of the resolution related to FY 2022 Annual Capital Improvement Budget.

**BOARD OF REGENTS POLICY: *TARGETED BUSINESS, URBAN COMMUNITY
ECONOMIC DEVELOPMENT, AND SMALL BUSINESS PROGRAMS***

A motion was made and seconded, and the committee voted unanimously to recommend adoption of the proposed amendments to Board of Regents Policy:

\$50,000; professional services is set at \$50,000; construction services, excluding architects and engineers, is set at \$250,000; and construction purchases for architects and engineers is set at \$100,000. These thresholds balance the goal of using competitive processes to achieve the best value for the University against the administrative burden of conducting a competitive process for each purchase. The administration recommends no changes to the current bid thresholds.

Employment Agreements

- Josh Berlo, Athletic Director, University of Minnesota Duluth
- Kenneth Horstman, Vice President for Human Resources
- Keith Mays, Dean of the School of Dentistry, Twin Cities campus
- Laura Molgaard, Dean of the College of Veterinary Medicine, Twin Cities campus
- Michael Rodriguez, Dean of the College of Education and Human Development, Twin Cities campus

Report to the MN Legislature: Administrative Cost Definition

- Minnesota Session Law S.F. 2415, Chapter 64, Section 44 requires the University to submit a report that defines, categorizes, accounts, and manages its administrative costs to the legislative committees with jurisdiction over higher education. The report provides a summary of how the University defines its administrative expenses and includes information on how the University benchmarks and monitors these activities across the University of Minnesota system. The report must be submitted no later than July 1, 2021.

Schematic Designs

- AB Anderson Hall Renovation (Duluth campus)

Appointments to the Board of Trustees of the Minnesota Landscape Arboretum Foundation

- Georgia Thompson
- Glenn Stolar

A motion was made and seconded, and the committee voted unanimously to approve the revised Consent Report. Regent Rosha abstained.

INFORMATION ITEMS

Regent McMillan referred the committee to the information items in the docket:

- Annual University Health Benefits and UPlan Performance Report
- Semi-Annual Capital Project Management Report
- Quarterly Asset Management Report
- Quarterly Purchasing Report
- Investment Advisory Committee Update
- Preliminary 2022 State Capital Request
- Overview of Permanent University Fund

The docket materials for this item begin on page 248. The closed captioned video of this item is [available here](#).

The meeting adjourned at 4:11 p.m.

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BRIAN R. STEEVES
Executive Director and
Corporate Secretary

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Governance & Policy Committee
June 11, 2021**

A meeting of the Governance & Policy Committee of the Board of Regents was held on Friday, June 11, 2021 at 8:40 a.m. in the Boardroom, 600 McNamara Alumni Center.

Regents present: Janie Mayeron, presiding; Mary Davenport, James Farnsworth, Douglas Huebsch, Ruth Johnson, Mike Kenyanya, David McMillan, Kendall Powell, Darrin Rosha, Steven Sviggum, and Kodi Verhalen.

Staff present: President Joan Gabel; Senior Vice President Myron Frans; General Counsel Douglas Peterson; and E

The docket materials for this item begin on page 10. The closed captioned video of this item is [available here](#).

The meeting adjourned at 9:25 a.m.



BRIAN R. STEEVES
Executive Director and
Corporate Secretary

Year 2020-21

**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Board of Regents
June 11, 2021**

**meeting of the Board of Regents of the University of M
at 9~~4~~ a.m. in the Boardroom, 60 McNamara Alumni Cen**

**Regents present: ~~Endall Pool~~, presiding; Mary Davenport,
Ruth Johnson, Mik~~K~~nyanya, Janie Mayeron, David McMil
~~K~~li Verhalen.**

Audit & Compliance Committee – May 13, 2021
Finance & Operations Committee – May 13, 2021
Mission Fulfillment Committee – May 13, 2021
Board of Regents – May 14, 2021
Nominating Committee – May 25, 2021
Nominating Committee – May 27, 2021

The docket materials for this item begin on page 6. The closed captioned video of this item is [available here](#).

REPORT OF THE PRESIDENT

President Gabel delivered the report of the President.

The docket materials for this item begin on page 27. The docket materials for this item are available here.

- (c) to other educational institutions in which the student seeks to enroll or is already enrolled so long as the disclosure is for purposes related to the student's enrollment or transfer;
- (d) to organizations conducting research studies for or on behalf of educational agencies or institutions, provided the study is conducted in a manner that does not permit personal identification of parents and students and there is a written agreement with the organization;
- (e) to persons in compliance with a court order or lawfully issued subpoena, provided that a reasonable attempt is made to notify the student where required prior to release;
- (f) to appropriate members of the court system when legal actions against the University is initiated by the student and the disclosure is part of the University's defense;
- (g) to appropriate persons in connection with an emergency if knowledge of the information is necessary to protect the health or safety of the student or other individuals;
- (h) to accrediting organizations and state or federal education authorities using information for auditing, evaluating, or enforcing legal requirements of educational programs, provided the data is protected to prohibit the identification of students and all personally identifiable information is destroyed when no longer needed;
- (i) to appropriate persons or agencies in connection with a student's application for or receipt of financial aid to determine eligibility, amount, or conditions of financial aid and to enforce the terms and conditions of the aid;
- (j) to parents of a dependent student as defined under the Internal Revenue Code;
- (k) the final results of a disciplinary proceeding, regardless of outcome, to the victim of an alleged perpetrator of a crime of violence or a nonforcible sex offense;
- (l) the final results of a disciplinary proceeding that a student has violated an institutional rule or policy in connection with a crime of violence or nonforcible sex offense; and
- (m)

REPORT OF THE FINANCE & OPERATIONS COMMITTEE

Regent McMillan, chair of the committee, reported that the committee voted to recommend the following four items. All unanimous recommendations of the committee were considered as one motion.

The committee docket materials can be found [here](#). The closed captioned video of this item is [available here](#).

- 1) Approval of the sale of Sale of Murphy Warehouse – 701 24th Avenue Southeast, Minneapolis, Minnesota (Twin Cities campus). The committee docket materials for this item can be found on page 45. A motion was made. A roll call vote was requested.

The vote was as follows:

Regent Davenport	Yes
Regent Farnsworth	No
Regent Huebsch	Yes
Regent Johnson	Yes
Regent Kenyanya	Yes
Regent Mayeron	Yes
Regent McMillan	Yes
Regent Rosha	No
Regent Sviggum	Yes
Regent Verhalen	Yes
Regent Powell	Yes

Nine votes were cast in favor of the motion and two votes were cast against the motion. The real estate transaction was approved.

- 2) Approval of the revised

WHEREAS, the Board recognizes the importance of sustaining and improving the University's facilities in support of teaching, research, and outreach; and

WHEREAS, the administration has developed a capital planning framework designed to focus its capital planning efforts toward projects that support the University's institutional priorities within a financial strategy that is realistic.

NOW, THEREFORE, BE IT RESOLVED that the Board approves the FY 2022 Annual Capital Improvement Budget.

- 4) Approval of proposed amendments to Board of Regents Policy: *Targeted Business, Urban Community Economic Development and Small Business Programs*. ~~BY THE BOARD OF REGENTS (H) 8/27/19 (H) 3.062 TF-0.~~

Subd. 2. Non-construction Contracts for Goods and Services.

The Targeted Business Program shall provide increased opportunities for woman, minority, and disabled owned businesses to be awarded University non-construction contracts for goods and services. In a request for proposal process for goods and services contracts with a value equal to or greater than \$50,000, bidding suppliers will receive points that become part of a total bid score that is used to determine the successful bidder for: 1) being certified as targeted businesses; 2) entering into subcontracts or supplier agreements with targeted businesses; and/or 3) where available, collaborating with the University in programs designed to provide job skills training or promote business development.

SECTION IV. SMALL BUSINESS OUTREACH PROGRAMS.

The Universit

UNIVERSITY OF MINNESOTA
BOARD OF REGENTS

Presidential Performance Review Committee
FY 2021

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Wednesday, June 16, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: Executive Director Brian Steeves; Deputy Director Sarah Dirksen

~~And~~ was made and seconded ~~that the~~

Resolution approved:

~~RESOLVED, that as provided by Minnesota Statute 1B.05, Sub 1.6, a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on Wednesday, June 16, 2021 at 8:00 a.m. at the Office of the Board of Regents, 600 McNamara Alumni Center, 200 Oak Street, SE, Minneapolis, Minnesota. The purpose of this meeting is to discuss, and evaluate the performance of the president, and prepare a report to the Board of Regents.~~

~~The committee discussed feedback on the president's performance.~~

~~Adjourned at 8:00 a.m.~~

BRIAN R. STEEVES
Executive Director and
Corporate Secretary



**UNIVERSITY OF MINNESOTA
BOARD OF REGENTS**

**Presidential Performance Review Committee
FY 2021**

A meeting of the Presidential Performance Review Committee of the Board of Regents convened on Tuesday, June 29, 2021 at 1:49 p.m. at the Office of the Board of Regents, 600 McNamara Alumni Center.

Regents present: Kendall Powell, presiding; Janie Mayeron; and Steven Sviggum.

Staff present: President Joan Gabel and Deputy Director Sarah Dirksen.

**RESOLUTION TO CONDUCT NON-PUBLIC MEETING
OF THE PRESIDENTIAL PERFORMANCE REVIEW COMMITTEE**

The meeting convened in public session at 1:49 p.m. A motion was made and seconded that the following resolution be approved:

RESOLVED, that as provided by Minnesota Statute 13D.05, Subd. 3(a), a non-public meeting of the Presidential Performance Review Committee of the Board of Regents will convene on